

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF : David Martin
FOR : **METHOD AND DEVICE FOR
PREVENTING CHECK FRAUD**
SERIAL NO. : 09/345,202
FILED : June 30, 1999
LAST OFFICE ACTION : UNKNOWN
EXAMINER : UNKNOWN
GROUP ART UNIT : 2876
ATTORNEY DOCKET NO. : 0236.0006

Akron, OH 44333-1343
October 22, 1999

CERTIFICATE OF MAILING

I hereby certify that this INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56 and §1.97 is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, DC 20231, on this 22nd day of October, 1999.

By: Carol E. Nisly
Carol E. Nisly

Commissioner of Patents
and Trademarks
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56 and §1.97

Dear Sir:

In accordance with 37 C.F.R. § 1.56 the applicant files this Information Disclosure Statement (IDS) under one of the following five circumstances:

1. _____ **No Information to disclose:** applicant has no information to disclose at this time.
2. _____ **Under § 1.97(i):** this IDS is filed with the knowledge that it will NOT be considered.
 - this IDS is filed BEFORE the grant of a patent; AND
 - this IDS does NOT comply with the requirements noted below
 - applicant notes that the information disclosed herein WILL be placed in the file
3. X **Under § 1.97(b):** this IDS should be considered because it is being filed
 - within 3 months of the filing date of a national application OR
 - within 3 months of the date of entry of the national stage of an international appl. OR
 - before the mailing date of a first Office Action on the merits, whichever occurs last.
4. _____ **Under § 1.97(c):** this IDS should be considered because it is being filed
 - after the period specified Under § 1.97(b) above but BEFORE either
 - the mailing date of a final action under § 1.113 OR
 - the mailing date of a notice of allowance under § 1.311, whichever occurs last,
 - AND is accompanied by either:

A) _____ one of the following statements under § 1.97(e):

I, Daniel A. Thomson, the undersigned hereby state:

(1) _____ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, OR

(2) _____ To the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in this statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than 3 months prior to the filing of this statement; OR

B) _____ A check in the amount of \$ 240.00 is enclosed to cover the Information Disclosure Statement (IDS) Fee under 37 C.F.R. § 1.17(p) as required when neither item (1) nor (2) above are selected.

5. _____ **Under § 1.97(d):** this IDS should be considered because it is being filed
 - after the period specified Under § 1.97(c) above but
 - ON OR BEFORE payment of the issue fee,
 - and is accompanied by:

A) _____ one of the following statements under § 1.97(e):

I, Daniel A. Thomson, the undersigned hereby state:

(1) _____ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, OR

(2) _____ To the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in this statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than 3 months prior to the filing of this statement; AND

B) _____ a petition requesting consideration of the IDS; AND

C) _____ A check in the amount of \$ 130.00 is enclosed for the petition fee as set forth under 37 C.F.R. § 1.17(i).

In accordance with § 1.56 and § 1.97 the references listed below and on the attached form PTO-1449 are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of these cited documents are enclosed.

English-Language Documents

| Patentee | Patent No. | Issue Date |
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Foreign-Language Documents

| Country | Patent No. | Issue Date |
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Other Documents

Safe Cheq

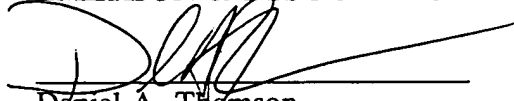
X Please charge deposit account No. 05-0875 if any additional fees are required.

It is respectfully requested that the Examiner indicate consideration of the cited references by returning a copy of the attached form PTO-1449 with initials or other appropriate marks.

October 22, 1999
Date

Respectfully submitted,

EMERSON & ASSOCIATES



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Akron, OH 44333-1343

(330) 535-9999

(Use several sheets if necessary)

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| ATTY. DOCKET NO. | SERIAL NO. |
| 0236.0006 | 09 / 345,202 |
| APPLICANT | |
| David Martin | |
| FILING DATE | GROUP |
| June 30, 1999 | 2876 |

[illegible]

| | | DOCUMENT NUMBER | | | | | | | DATE | COUNTRY | CLASS | SUB-CLASS | TRANSLATION YES NO | |
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| (Rev. 2-32) PATENT AND TRADEMARK OFFICE | | | | | | | | | ATTY. DOCKET NO. | | | | SERIAL NO. | | | | | | |
| METHOD AND DEVICE FOR PREVENTING CHECK FRAUD | | | | | | | | | 0236.0006 | | | | 09 | | 345 | | 202 | | |
| INFORMATION DISCLOSURE | | | | | | | | | APPLICANT | | | | | | | | | | |
| STATEMENT BY APPLICANT | | | | | | | | | David A. Martin | | | | | | | | | | |
| (Use several sheets if necessary) | | | | | | | | | FILING DATE | | | | GROUP | | | | | | |
| | | | | | | | | | June 30, 1999 | | | | 2876 | | | | | | |
| U.S. PATENT DOCUMENTS | | | | | | | | | | | | | | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | | | | | | | DATE | | NAME | | | CLASS | | SUB- CLASS | | FILING DATE IF APPROPRIATE | |
| | | 6 0 7 3 1 2 1 | | | | | | | 6/6/00 | | RAMZY | | | 705 | | 45 | | 9/29/97 | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | | | | | | | | | | | | | |
| | | DOCUMENT NUMBER | | | | | | | DATE | | COUNTRY | | | CLASS | | SUB- CLASS | | TRANSLATION YES NO | |
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| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | | | | | | | | | | | | | |
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